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1	Improved productivity of naturalized spring Chinook salmon following
2	reintroduction from a hatchery stock in Lookingglass Creek, Oregon
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Supplementation of depressed salmonid populations with hatchery production has been 18 19 questioned due to domestication effects, which may reduce reproductive fitness. However, for 20 extirpated populations, reintroduction typically requires use of hatchery stocks. We evaluated 21 this strategy by monitoring the naturalization of spring Chinook salmon reintroduced to 22 Lookingglass Creek, OR (Grande Ronde Basin) from a captive brood, hatchery stock. We 23 compared the reproductive success (RS) of naturally spawning natural-origin (NOR) relative to 24 hatchery-origin (HOR) adults across nine brood years. Individual RS (the number of progeny 25 produced) was estimated by pedigree reconstruction analyses, and then analyzed by generalized 26 linear models to estimate the effect of parental origin, while controlling for potentially 27 confounding covariates. When evaluating RS by juvenile progeny, NOR spawners were more 28 likely to be reproductively successful, and when successful, produced more progeny on average 29 than successful HOR counterparts. We found a similar advantage when evaluating RS by adult 30 progeny, although the origin effect was not as important among successful spawners. Results suggest fish reintroduced from a hatchery stock possess the adaptive capacity to positively 31 32 contribute to natural productivity and recovery goals.

39 Keywords: reintroduction, origin, Chinook, reproductive success

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40 [A] Introduction

41 Populations of anadromous salmonids (*Oncorhynchus* spp.) have been dramatically reduced 42 in abundance across their historic range in the Pacific Northwest (e.g., see reviews by Nehlsen et 43 al. 1991; Gustafson et al. 2007). In the Columbia River Basin, where extensive and dramatic 44 alterations to the migratory corridor have occurred, an estimated 117 of 333 historical 45 populations have been extirpated (CBP 2020). When the central cause of extirpation involved a physical barrier to migration that was subsequently removed, instances of reintroduction or range 46 47 expansion via the natural exploratory behaviors of migrating adults have occurred (Pess et al. 48 2014; Anderson et al. 2015; Allen et al. 2016; Brewitt 2016; Brenkman et al. 2019). However, 49 when barrier removal or habitat restoration actions are not feasible or when extant source 50 populations are distant, natural recolonization via straying may be impractical or insufficient to 51 establish a self-sustaining population, thereby necessitating active reintroduction efforts 52 (Deredec and Courchamp 2007; Anderson et al. 2014). Such efforts generally rely on hatchery 53 stocks and presume that fish of the chosen stock possess the phenotypic and genotypic diversity 54 upon which natural selective forces may act over generations to affect population-level 55 adaptations to the introduced habitat (Narum et al. 2007; Anderson et al. 2014; Liermann et al. 56 2017; Evans et al. 2019).

57 The ability of a hatchery stock to successfully adapt and positively contribute to a 58 reintroduction effort, however, may have been compromised by domestication effects associated 59 with hatchery rearing. Several studies involving hatchery supplementation of depressed 60 populations have shown that domestication selection, or selection for traits that are beneficial 61 under conditions of captivity, may negatively impact the reproductive success (i.e., fitness) of 62 hatchery-origin (HOR) transplants when spawning in nature, as well as that of the natural-origin

(NOR) fish with which they may interbreed (Lynch and O'Hely 2001; Ford 2002; Frankham et 63 64 al. 2002; Araki et al. 2007a; Frankham 2008; McClure et al. 2008; Berntson et al. 2011; Christie 65 et al. 2012; Ford et al. 2012). Similar to these evaluations of supplementation programs for 66 depressed populations, it is possible to monitor the naturalization of reintroduced fish by 67 comparing the reproductive success (RS) of NOR fish (i.e., those that are one or more 68 generations removed from the hatchery) relative to HOR fish (i.e., incubated and reared in a 69 hatchery). If natural selective forces select against maladaptive domestication effects, naturally 70 spawning NOR adults should demonstrate higher RS than their HOR counterparts, and therefore 71 present relative reproductive success (RRS) ratios greater than one within a given brood year 72 (RRS ratio expressed as NOR:HOR since RS of the HOR fish is the baseline value for a 73 reintroduced population). A reintroduction program for spring Chinook salmon in Lookingglass 74 Creek, a tributary to the Grande Ronde River (rkm 138 from its confluence with the Snake 75 River) in northeastern Oregon (Figure 1), provided an opportunity to test this hypothesis. 76 Since the reintroduction program began in 2001, the Confederated Tribes of the Umatilla 77 Indian Reservation (CTUIR) have conducted a systematic monitoring program including tissue 78 sampling and collection of biological data from all adult spring Chinook salmon intercepted at 79 the Lookingglass Hatchery weir each year, as well as from a sample of juvenile outmigrants 80 captured in a rotary screw trap located between the weir and hatchery (Figure 1). We used these 81 samples and associated datasets to conduct a multi-generational parentage analysis to evaluate 82 the naturalization of spring Chinook salmon reintroduced to Lookingglass Creek upstream of the 83 weir, by comparing the RS of naturally spawning NOR fish relative to HOR fish within brood 84 years. We estimated individual RS as average recruits-per-spawner, where recruits were 85 expressed as either juvenile outmigrants, returning adults, or grand-progeny (both juvenile and

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adult life stages), and then estimated relative reproductive success (RRS) ratios between origins
using generalized linear models. We hypothesized that the NOR spawners, which hatched and
reared in the stream, would demonstrate higher RS than their HOR counterparts, which hatched
and reared in the hatchery, within each brood year.

91 [A]*Methods*

92 [B] Study Area and sample collection – The native population of spring Chinook salmon in 93 Lookingglass Creek suffered drastic declines in abundance in the late-1900s, largely due to 94 increased migration mortality associated with the construction of the four lower Snake River 95 dams (ODFW 1990; Tranquilli et al. 2004). To mitigate for these losses, Lookingglass Hatchery 96 was constructed on Lookingglass Creek (rkm 3.7; Figure 1) in 1982 under the federally 97 mandated Lower Snake River Compensation Plan (LSRCP; COE 1975; Herrig 1990; Marshall 98 2010). To meet the hatchery's abundance and harvest goals, broodstock was initially sourced 99 from Carson National Fish Hatchery (located on the Wind River; Carson, Washington) and later 100 from Rapid River Hatchery (located on the Salmon River; Riggins, Idaho) (Olsen et al. 1992). 101 However, in 1992, Snake River spring/summer Chinook salmon were listed under the 102 Endangered Species Act (ESA), obligating managers to reconcile goals outlined in the LSRCP 103 with stipulations of the ESA (NMFS 1992). As a result, the reliance on out-of-basin stocks in the 104 Grande Ronde basin was terminated after the 1999 brood year, and managers instead sourced 105 broodstock from in-basin returning adults or fish which were raised under one of three, in-basin, 106 captive broodstock programs (Catherine Creek, Lostine River, Upper Grande Ronde; see Figure 107 1) (Hesse et al. 2006; Carmichael et al. 2011).

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108 For Lookingglass Creek, however, by the time these practices were initiated in 2000, the 109 native population was functionally extirpated due to excessive removal of returning adults for 110 hatchery broodstock and significant introgression by out-of-basin hatchery stocks (ICBTRT 111 2003; Van Doornik et al. 2013). Managers therefore sought to reestablish a Lookingglass Creek 112 spring Chinook salmon population by reintroducing fish derived from the Catherine Creek 113 captive broodstock program (Hesse et al. 2006). The first Catherine Creek stock juveniles were 114 released from the hatchery into Lookingglass Creek in 2001 (brood year 2000), and the first year 115 of substantial returns from this cohort occurred in 2004 (age-4 is the dominant age at maturity for 116 Grande Ronde spring Chinook salmon; Burck 1994; Boe et al. 2010). A proportion of the 117 hatchery-origin (HOR) adults returning to Lookingglass Creek in 2004 were collected for 118 broodstock to create a local, Lookingglass Hatchery stock. The remainder were passed upstream 119 of the weir for natural spawning, thereby initiating the reintroduction of spring Chinook salmon 120 to the upper reaches of Lookingglass Creek (Boe et al. 2010). The first age-4 natural-origin 121 (NOR) progeny from the BY2004 natural spawners returned to the Lookingglass weir in 2008. 122 Since 2008, the upstream spawning population of spring Chinook salmon in Lookingglass Creek 123 has consisted of a mix of NOR and HOR adults (Figure 2).

To monitor productivity of the reintroduced spring Chinook salmon population and collect fish for hatchery broodstock, the Confederated Tribes of the Umatilla Indian Reservation (CTUIR) and Oregon Department of Fish and Wildlife (ODFW) operate a weir on Lookingglass Creek, approximately 400m upstream of the Lookingglass Hatchery (Figure 1). The weir presents an essentially impassable barrier to upstream migration and operated with an estimated efficiency of 99.2% during the study years (2008-2020; C. Crump personal communication, 2022). From 2008 through 2020, adults intercepted at the weir were measured for fork length Page 7 of 52

131 (mm), tissue sampled (opercular punch), sexed by visual assessment of external morphology and 132 identified to origin, where an adipose-intact fish with no coded wire tag was designated as NOR 133 (Crump et al. 2021). A portion of the intercepted fish were transferred to the hatchery for use as 134 broodstock, with the remainder passed upstream of the weir for natural spawning each year 135 (except for some or all of the age-3 HOR jacks, which were donated to the CTUIR foodbank or 136 transported back downstream for fisheries) (Boe et al. 2010; ODFW 2011). A limited number of 137 tissue samples were also collected from post-spawned adults that escaped handling at the weir (identifiable by lack of an opercular punch) during spawning ground surveys conducted above 138 139 the Lookingglass Creek weir.

140 To monitor juvenile production from natural spawning, CTUIR captures out-migrating 141 juveniles year-round in a rotary screw trap (RST) located 200 meters downstream of the weir 142 (Figure 1). The RST operated with an estimated average sampling efficiency of 35% during the 143 study years (C. Crump, personal communication, 2022). From 2009 through 2018, tissue 144 samples (fin clips) were randomly collected from a portion of the captured juveniles for genetic 145 analysis, along with individual fork length (mm), weight (g) and sample date. Juvenile spring Chinook salmon out-migrate from Lookingglass Creek primarily as age-0+ parr (fork length 146 147 range 39-118mm, mean 74.6mm) from June through December. These fish overwinter within the 148 mainstem of the Grande Ronde River prior to continuing their migration to the ocean the 149 following spring. A smaller proportion of juveniles over-winter in Lookingglass Creek, and are 150 captured out-migrating in February through May as age-1+ smolts (fork length range 56-197mm, 151 mean 89.6mm; Burck 1994; Crump et al. 2017).

152 [C] Ethics Approval

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Sampling and handling of both juvenile and adult spring Chinook salmon is authorized via
appropriate ESA permits and consultations, as outlined in Section 2.1 of the Lookingglass
Hatchery Genetic Management Plan (ODFW 2011).

157 [B]Genetic sample preparation and parentage analysis - DNA was extracted from tissue initially using a standard Qiagen DNeasy protocol (Qiagen Inc., Valencia, CA) and subsequently 158 159 a custom Chelex 100 protocol (Sigma-Alrich, St Louis, MO). Genotyping was performed for a 160 panel of single nucleotide polymorphism (SNP) markers (Hess et al. 2015), plus an additional 161 sex marker (Brunelli et al. 2008; Yano et al. 2013) developed for spring Chinook salmon using 162 the genotyping-in-thousands by sequencing approach (GTseq; Campbell et al. 2015). All 163 parentage analyses were performed using a panel of 93 markers that were shared and informative 164 across relevant collection years (2008-2020; marker list detailed in Nuetzel 2022). Individual genotype data was then quality filtered such that any individual missing $\geq 10\%$ genotypic data, or 165 166 which represented a duplicate sample of the same individual, was removed from the analytical 167 data set.

168 Parentage analysis was performed for each progeny collection separately, with a collection 169 being defined by a unique combination of life stage and collection year (see Nuetzel 2022). We 170 used two pedigree reconstruction programs, both of which use likelihood-based methods to 171 assign parentage for each progeny collection. Genotype data were first analyzed in the program 172 SNPPIT (Anderson 2010; Anderson 2012) to identify parent-progeny trios (i.e., a progeny and 173 both its mother and father). Each SNPPIT run considered parent spawn year and assumed a 174 genotyping per locus error rate of 0.5%, which was guided by author recommendations and our 175 preliminary assessment of per locus error rates across collection years (from 0.00014 to 0.0053; Anderson 2010). We did not include parental sex data due to inconsistency between sex identity based on genetic sex markers versus phenotypic sex indicated in the field data, particularly in the earliest collection years. All resulting trios were filtered for false discovery rate (FDR) ≤ 0.010 , and no more than two Mendelian incompatible loci. The parent-progeny trio assignments passing these filtration criteria were then compared to recorded genetic and phenotypic sex data to resolve sex identity for those individuals assigned as parents. Any trios in which parents were ultimately presumed to be the same sex were not retained.

Each progeny collection was then analyzed in the program COLONY (v2.0.6.6; Jones and 183 184 Wang 2010) to identify single-parent progeny pairs and additional trios. As with SNPPIT, each 185 COLONY run was performed naïve of parent sex; however, we used the quality-filtered parent-186 progeny trios identified by SNPPIT to construct known paternity and maternity matrices for each 187 run in COLONY. This effectively informed COLONY of sex for individuals attributed progeny by SNPPIT. Run parameters for each progeny collection were as follows: both parents 188 189 polygamous, medium run length and precision, and full-likelihood analysis method. 190 Additionally, we identified the probability of either mother or father having been sampled as 191 0.90 - an estimate which considered weir efficiency data, as well as computational risks of 192 overestimating sampling probability. All resulting single-parent progeny pairs and novel trios 193 were filtered for probability ≥ 0.90 .

Lastly, all quality-filtered trio and single-parent assignments from SNPPIT and COLONY were combined, and then reviewed alongside individual biodata to ensure plausibility. Nonplausible assignments included those for which: 1) the inferred age of the progeny given parental assignment did not align with recorded life stage and/or fork length, 2) instances of implausible precocious female spawning, and 3) trios in which the parental dispositions (i.e., natural 202

spawner, broodstock spawner, etc.) did not align. Assignments which failed any of these three
plausibility checks were removed from the analysis; this amounted to a relatively small
proportion (1.3%) of total trio and single-parent assignments across all progeny collections.

203 [B]Relative Reproductive Success Analyses – We summarized finalized parentage assignments to 204 tally the total number of parr, smolt, and adult progeny assigned to adult (age-4 and age-5) 205 female and male fish that spawned naturally in Lookingglass Creek upstream of the weir during 206 brood years 2008-2016. We then estimated the number of juvenile and adult grand-progeny 207 attributed to adult spawners in brood years 2008-2012 by summing across generations. For 208 example, if 2008 is the F0 generation, its adult progeny (F1) largely returned in 2012, and its 209 adult grand-progeny (F2) largely returned in 2016. We therefore tallied the number of grand-210 progeny attributed to a given spawner from 2008-2012 by summing all progeny assigned to its 211 adult, naturally spawning progeny in the F1 generation. If an adult spawner from BY2008-2012 212 was assigned zero F1 offspring, then it was assumed to produce zero grand-progeny. If an adult 213 spawner from BY2008-2012 was assigned an adult F1 progeny that was taken for broodstock, 214 we did not consider this individual in our grand-progeny analyses as we were explicitly 215 interested in stream spawners and therefore would not have analyzed the progeny of any 216 broodstock spawners. We did not perform any progeny or grand-progeny summations for 217 naturally spawning jacks (age-3 males) because returning hatchery-origin (HOR) jacks were not 218 passed above the weir starting in 2012. We also conducted a sensitivity analysis by excluding 219 single-parent assignments from the tallies of individual reproductive success, and thereby the 220 relative reproductive success (RRS) estimates. We found that we would have drawn the same 221 qualitative conclusions regardless of whether single-parent assignments were included or

excluded, and therefore chose to include the single-parent assignments in our subsequentanalyses.

224 We initially considered part and smolt progeny separately in reproductive success (RS) 225 comparisons to account for possible differences in the propensity of juvenile offspring to 226 emigrate as a part or smolt according to parental origin. Given the geographic differences in 227 over-wintering by juvenile emigration type, and knowing that the overwintering period is 228 associated with significant mortality (Huusko et al. 2007; Brown et al. 2011; Favrot and 229 Jonasson 2020), the number of progeny per spawner would appear higher if enumerated at the 230 parr versus smolt stage. This could bias estimates of individual reproductive success if one 231 parental origin is more likely to produce juvenile offspring that emigrate as parr. We therefore 232 assessed whether NOR and HOR spawners were assigned part at relatively equal proportions of 233 their total juvenile assignments within brood years. While the proportion of all assignments that 234 indicated parr progeny varied among years, the difference between NOR and HOR spawners 235 within years was statistically significant in only a single year (2009, 0.77 for HOR vs 0.65 for 236 NOR; all other years had smaller differences), leading us to conclude that we could combine the 237 number of parr and smolt progeny assigned per adult into a single measure of RS without 238 concern of a juvenile progeny type bias (Supp. Material – Section 5; Figure S9¹). As an 239 additional sensitivity analysis to verify that this summation did not bias our inference, we 240 replicated some RRS analyses on parr and smolt progeny separately and found that we would 241 have drawn similar conclusions had we not combined them (Supp. Material – Section 6).

¹ Supplementary material are available with the article at 10.1139/cjfas-2022-0114

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The summed juvenile and adult progeny per spawner assignment data were then analyzed to address four questions that comprehensively evaluated origin-specific differences in RS when spawning naturally:

- (1) Relative Reproductive Success Parent to Progeny: Is expected RS a function of variables such as origin, sex, body size, arrival day, and year of spawning?
 - (2) *Relative Reproductive Success Grand-Parent to Grand-Progeny:* Do any RS differences identified in question (1) carry through to later generations?
 - (3) Relative Reproductive Success by Parental Cross Type: Does expected RS vary depending on the origin of the spawners involved; e.g., does a spawning pair involving 1 or 2 NOR spawners have a different RS expectation than a spawning pair involving two HOR spawners?
 - (4) Reproductive Success Comparison given Progeny Age: To what extent does evaluation of RS based on juvenile progeny predict RS based on adult progeny?

256 To quantify statistical evidence for answers to these questions, we required a model to 257 estimate the expected progeny production by spawners of specific characteristics, from which we 258 could then build a ratio (with an accompanying confidence interval) to represent RRS between 259 origins. Among various analytical options, several aspects of our data set required special care 260 and ultimately dictated our analytical design. First, RS may be influenced by factors aside from, 261 or in addition to, the origin of the spawner and we sought to both quantify the effects of sex, size, 262 return timing and inter-annual variability, and to control for any potential confounding impact 263 they may have on our estimates of RRS (Anderson et al. 2010; Williamson et al. 2010; Berntson 264 et al. 2011; Schroder et al. 2012; Anderson et al. 2013; Evans et al. 2016; Janowitz-Koch et al.

265 2019). While spawn location (Hoffnagle et al. 2008; Williamson et al. 2010; Hughes and 266 Murdoch 2017) and the incidence of pre-spawn mortality (Bowerman et al. 2018) have been 267 identified as factors that may interact with origin to affect reproductive success, carcass recovery 268 is quite low in Lookingglass Creek due to high predation (Crump et al. 2021), precluding the 269 consideration of either for this dataset. Second, the data set contained many spawners with zero 270 progeny assigned to them. This follows observations from several other naturally spawning 271 anadromous salmonid populations (Seamons et al. 2004; Ford et al. 2006; Williamson et al. 272 2010; Hess et al. 2012; Janowitz-Koch et al. 2019). These individuals are hereafter referred to as 273 "unsuccessful" spawners, whereas those with >1 progeny assigned are termed "successful" 274 spawners (Supp. Material – Sections 1 & 2). The frequency of zero values would violate the 275 assumptions of many statistical models, and thus required specific treatment (note, however, that 276 the analyses for questions 3 and 4 used only successful spawners or spawning pairs which 277 removed this feature of the data set). It is important to acknowledge, however, that the 278 designation of "successful spawner" in this study and others (e.g., Hess et al. 2012; Janowitz-279 Koch et al. 2019; Koch et al. 2022) is a product of sampling and analytical design. Except in 280 circumstances where sampling of potential spawners and progeny is essentially complete. 281 progeny-per-spawner estimates are best treated as indices of RS rather than true RS. Third, there 282 was high variability in progeny numbers among successful spawners (Supp. Material – Section 283 2) even for spawners with similar attributes such as brood year, sex, and origin. This indicated 284 the data would likely be over-dispersed relative to count models that cannot accommodate this 285 level of variability for low values of the expected count (e.g., the Poisson distribution). And 286 fourth, we considered two life stages of progeny for characterizing the RS of a given spawner in 287 a given brood year: (a) total juveniles detected migrating out of and (b) total adults returning to

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Lookingglass Creek. We chose to replicate identical analyses for both response variables
separately for all questions except question (4), which compared adult and juvenile progeny
assigned to individual spawners.

291 Based on these considerations, we opted to use the generalized linear modeling (GLM) 292 framework (Nelder and Wedderburn 1972) with outcomes assumed to follow a negative 293 binomial distribution for each of our analyses. For analyses involving excessive zeros (i.e., those 294 for questions 1 and 2), we employed a negative binomial hurdle model (described below; Zeileis 295 et al. 2008). For analyses involving successful spawners only (i.e., those for questions 3 and 4), 296 we removed the hurdle and employed standard negative binomial GLMs. Except for the analysis 297 for question (4), we chose to use solely fixed effects for modeling heterogeneity in RS. For each 298 progeny life stage, we performed an all subsets analysis of a global model where models were 299 ranked by AIC corrected for small sample size (AIC_c) (Burnham and Anderson 2002) and selected the model with the fewest parameters that was within 2 AIC_c units of the lowest AIC_c 300 301 model for inference; we considered only models that converged successfully in these selections. 302 From this model, we then calculated the ratio (NOR:HOR) as a measure of RRS while 303 controlling for spawner attributes like sex, size, and return day.

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[C] Statistical Models – We used maximum likelihood methods to fit models to data in program
R (R Core Team 2020) using the 'glmmTMB' package (Brooks et al. 2017) and checked the
consistency of the data with the statistical assumptions of the model using the 'DHARMa' R
package (Hartig 2020). The assumption checks indicated that the data conformed well with the
assumptions of the top models for each analysis (Supp. Material – Section 4). We used
parametric bootstrapping to quantify the uncertainty in quantities derived from model

coefficients, e.g., for ratios of two model-predicted RS values. The parametric bootstrap (implemented via R package 'lme4', Bates et al. 2015) involved simulating 1,000 data sets from the best model, refitting the model to each simulated data set, and summarizing the variability in the predicted quantity of interest across refitted models. We fitted all models to data from multiple brood years, and we used model selection to determine whether among-year variability in parameters (e.g., an origin \times year interaction) was justified. The specific code and data files to reproduce all GLM analyses can be found in Staton (2022).

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[D] Q1: Relative Reproductive Success – Parent to Progeny & Q2: Relative Reproductive Success – Grand-Parent to Grand-Progeny

[E] GLMs with Hurdle – The hurdle model is a GLM with two components: (a) the 321 322 "conditional" model to describe counts that have a non-zero expected value and (b) the "zero" 323 model to describe the probability that observations will be zero. Unlike the related class of zero-324 inflated count models, the hurdle model allows zero-valued outcomes to occur only from the 325 zero model, and never from the conditional model (Zeileis et al. 2008). Thus, we chose the 326 hurdle approach for addressing questions (1) and (2) because it enabled modeling covariate 327 effects on outcomes of successful and unsuccessful spawners separately but within the same 328 model (an advancement of prior RRS analyses of successful and total spawners). This approach 329 allowed us to quantify three primary metrics of interest based on varying characteristics of each 330 spawner (e.g., origin, size, etc.): (a) the expected number of progeny produced by successful 331 spawners, (b) the expected probability that spawners will be successful and (c) the expected 332 number of progeny produced by each spawner, which combines metrics (a) and (b).

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We denote the observed number of progeny (juvenile or adult progeny analyzed separately) assigned to spawner *i* by y_i , where $i \in \{1, 2, ..., n\}$. Both the conditional and zero components of the hurdle model may be influenced by covariates in an attempt to explain among-spawner variability in y_i ; we denote the design matrices for these models by **X** and **Z** (and row vectors for spawner *i* by \mathbf{x}_i and \mathbf{z}_i), respectively, and note that some covariates may be shared by both models. Thus, the conditional model took the form:

$$\log(\mu_i) = \mathbf{x}_i^{\mathsf{T}} \boldsymbol{\beta}, \qquad (1)$$

where $\boldsymbol{\beta}$ is a vector of coefficients that quantify the additive effect of each covariate on $\log(\mu)$; multiplication of two bold terms (i.e., vectors or matrices) implies matrix multiplication. The zero component of the hurdle model predicts the expected probability that spawner *i* was unsuccessful (π_i):

$$logit(\pi_i) = \mathbf{z}_i^{\mathsf{T}} \mathbf{\gamma}, \qquad (2)$$

345 where γ is a vector of coefficients (estimated in addition to the β vector) that quantify the 346 additive effect of each covariate on logit(π).

The negative binomial distribution includes an additional parameter to capture extra-Poisson variability (ϕ). There are two expressions of the negative binomial distribution (Hardin and Hilbe 2007), and we used the (most common) version where the variance increases as a quadratic function of the expected count ($V(y_i) = \mu_i + \frac{\mu_i^2}{\phi}$); we denote the negative binomial probability mass function by $f(y_{ij}\mu_{ij}\phi)$. The expected number of progeny for spawner $i(\hat{y}_i)$ was:

$$\hat{y}_{i} = (1 - \pi_{i}) \sum_{m=1}^{M} \frac{f(m; \mu_{i}, \phi)}{1 - f(0; \mu_{i}, \phi)} m, \qquad (3)$$

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where *M* is some arbitrarily large number where the probability mass $f(M,\mu_i,\phi)$ is effectively zero (we used *M*=1000 in all calculations). The term $(1 - \pi_i)$ in eq. (3) is the expected probability that spawner *i* was successful, and the remaining portion is the expected number of progeny for spawner *i* if it was definitely successful. Candidate models were distinguished from one another by the inclusion or exclusion of

particular variables in the **X** and **Z** design (i.e., covariate) matrices. The simplest (i.e., null) models we evaluated had only the intercept terms included in the β and γ coefficient vectors, which produced identical expected values for μ and π , respectively, for all spawners. Hence, this model assumed RS of NOR and HOR spawners was identical and that no other covariates influenced expected RS.

363 The conditional component of the global models for the question (1) analysis included a 364 three-way interaction for sex \times origin \times year and two-way interactions for arrival day \times 365 origin and spawner size (length, mm) \times origin. Arrival day was rescaled each year so day 0 366 corresponded to April 20 (the earliest date a spawner arrived across all years) prior to model 367 fitting. The zero component of the global models for question (1) included only the three-way 368 interaction for sex \times origin \times year and all associated main effects and two-way interactions. 369 The purpose of the three-way interaction was to allow the most complex models we fitted to 370 have unique expectations (for both μ and π) for spawners of each sex/origin in every year. We 371 also explored including a quadratic term for arrival day (identified as significant in Koch et al. 372 2022), however, nearly all models with this term failed to converge so it was removed from 373 consideration. The analysis for question (2) was nearly identical to that as for question (1), but 374 the global conditional and zero models included only origin \times year. We used the R package 375 'MuMIn' (Bartoń 2022) to carry out model selection tasks.

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377 [D] Q3: Relative Reproductive Success by Parental Cross Type & Q4: Reproductive Success 378 Comparison given Progeny Age

379 *[E] GLMs without Hurdle* – For analyses that only considered successful spawners (i.e., those 380 for questions 3 and 4), the negative binomial GLMs we employed quantified variability in the 381 expected progeny count for spawner i as explained by spawner-level attributes (i.e., covariates). 382 That is, the GLM was made only of the conditional component of eq. (1) and did not include a 383 separate model component to explain zero-valued y_i .

Note that in the analysis for question (3), the individual observations were progeny 384 385 produced by a specific pair of spawners, unlike all other analyses which treated progeny 386 produced by individual spawners as the response variable. The only effects evaluated in the 387 question (3) analysis were cross type (e.g., NOR male \times NOR female – N \times N; NOR male \times 388 HOR female – N × H; HOR male × NOR female – H × N; HOR male × HOR female – H × 389 H), year of spawning, and their interaction. For such a pair of spawners to have been detected it 390 must have been successful; we used GLMs with a zero-truncated negative binomial distribution 391 to account for this fact that no observations were zero. The expected number of progeny (y_i) in this case was identical to that of eq. (3), except with the term $(1 - \pi_i)$ removed (or, equivalently, 392 393 with $\pi_i = 0$).

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395 *[E] Mixed-Effects Model* – To evaluate if there was a relationship between juvenile ($y_{i,juvenile}$) 396 and adult production ($y_{i,adult}$) by any given spawner (regardless of sex, origin, size, etc.) in any 397 given year (question 4) we used a negative binomial generalized linear mixed-effects model, 398 where the slope and intercept terms included random effects for year of spawning. Thus, the Page 19 of 52

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407

expected number of adult progeny produced by spawner *i* ($\hat{y}_{i,adult} = \mu_{i,adult}$ due to no zerotruncation) was:

$$\log(\mu_{i,\text{adult}}) = (\beta_0 + \varepsilon_{0,j(i)}) + (\beta_1 + \varepsilon_{1,j(i)})y_{i,\text{juvenile}}$$
$$\varepsilon_{0,j} \sim \mathcal{N}(0,\sigma_0^2)$$
$$\varepsilon_{1,j} \sim \mathcal{N}(0,\sigma_1^2)$$
(4)

where β_0 and β_1 are the fixed-effect intercept and slope terms and $\varepsilon_{0,j(i)}$ and $\varepsilon_{1,j(i)}$ are yearspecific (*j*) random effects with variances σ_0^2 and σ_1^2 , respectively (the notation *j*(*i*) denotes the year *j* in which spawner *i* returned). All spawners that were unsuccessful according to juvenile production (i.e., $y_{i,juvenile} = 0$) were discarded prior to analysis and the resulting model showed no evidence of zero-inflation.

408 [A]Results

409 [B]Parentage analyses – After removing individuals with duplicate genotypes or which failed to 410 genotype at nine or more loci (7.0% of analyzed samples), we estimated the assignment rate for each progeny collection, where the collection was defined by collection year and life stage. For 411 412 adults sampled between 2012-2020, and which were interrogated as progeny, an average of 413 65.6% of individuals were successfully assigned at least one parent from brood years 2008-2016. 414 For juveniles (smolt + parr) sampled from 2009-2018, and which were interrogated as progeny, 415 an average of 83.6% of individuals were successfully assigned to at least one parent from brood 416 years 2008-2016. These observed differences in assignment rates by progeny life stage are 417 associated with spatial distribution patterns of spawning adults in Lookingglass Creek, such that 418 adult progeny were more likely to have been the descendants of fish that spawned below the 419 weir, which were not included in the analysis (Crump et al. 2021). Parent-offspring trios 420 identified by SNPPIT (Anderson 2012) had an average FDR of 0.00075, and trios or singleCan. J. Fish. Aquat. Sci. Downloaded from cdnsciencepub.com by NOAANMFSBF on 11/29/22 For personal use only. This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official version of record.

421 parent offspring pairs identified by COLONY (Jones and Wang 2010) had an average422 assignment probability of 0.99.

423 These assignments were then summarized to reflect raw, brood year specific, 424 reproductive success (RS) estimates for naturally spawning natural-origin (NOR) and hatchery-425 origin (HOR) adults. Across brood years 2008-2016, a total of 41.8% of HOR spawners and 426 53.8% of NOR spawners were assigned at least one juvenile progeny, while 23.0% of HOR 427 spawners and 21.8% of NOR spawners were assigned at least one adult progeny (Supp. Material - Section 1). For grand-progeny, across brood years 2008-2012, a total of 19.6% of HOR 428 429 spawners and 28.7% of NOR spawners were attributed at least one juvenile grand-progeny, while 6.5% of HOR spawners and 12.0% of NOR spawners were attributed at least one adult 430

431 grand-progeny (Supp. Material – Section 1).

- 432
- 433 [B]Relative Reproductive Success analyses
- 434 [C] Q1: Relative Reproductive Success Parent to Progeny

435 [D] Model Selection Results – For juvenile progeny, the best model included the terms day +436 length + origin + sex + year in the conditional model and the terms origin + year in the zero 437 model (Table 1). There was considerable model uncertainty, evidenced by 14 models with ΔAIC_c 438 values less than 2, however all of these top models included main effects for day, length, origin, 439 and year in the conditional model - differences in the 14 models came in the form of the 440 complexity of the zero model or whether interactions by origin or by year were included in the 441 conditional model. All of these models included effects for origin and year for the zero model as 442 well, but only one included the interaction between origin and year.

For adult progeny, the best model included the terms sex + year + sex:year in the conditional model and the terms *origin* + *year* in the zero model (Table 1). There was less model uncertainty for total adult progeny than for total juvenile progeny, with only 6 models with Δ AIC_c values less than 2. None of the top models included an origin effect in the conditional model, but all included effects for sex, year, and their interaction. Conversely, the zero component of all top models included origin and year effects.

449

450 [D] *Reproductive Success Patterns* – Expected juvenile progeny per female spawner varied 451 widely among years: female NOR spawners produced from 2.05 to 8.42 (mean: 3.40) and HOR 452 spawners produced from 1.19 to 7.19 (mean: 2.36) (Figure 3c). The high inter-annual variability 453 was attributed to both the number of progeny produced by successful spawners (Figure 3a) and 454 the probability of being successful (Figure 3b). Successful males were estimated to produce 1.12 455 (95% CI: 0.99 - 1.26) times as many juvenile progeny as successful females and model selection 456 concluded that there was insufficient evidence to suggest that this varied among years or origin 457 types (Supp. Material, Figure S2a,b). The two continuous covariates we assessed (arrival day and Can. J. Fish. Aquat. Sci. Downloaded from cdnsciencepub.com by NOAANMFSBF on 11/29/22 For personal use only. This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official version of record.

spawner length) were retained in the top conditional model for juvenile progeny (although
neither included an interaction with origin type) and were estimated to have opposite effects.
Successful spawners were estimated to have 1.19 (95% CI: 1.12 – 1.27) times as many juvenile
progeny for every 1 standard deviation (~50 mm) increase in length (Supp. Material, Figure S3)
and 0.994 (95% CI: 0.991 – 0.997) times as many juvenile progeny for every one day increase in
their arrival day (Supp. Material, Figure S4).

Adult progeny produced per female spawner also varied widely among years, but unlike 464 for juvenile progeny, it showed a marked decreasing trend over the years evaluated in this study 465 466 (Figure 3f). This trend was attributed somewhat to progeny per successful spawner (Figure 3d) but primarily to the probability of being successful (Figure 3e); this finding was true of both 467 468 NOR and HOR spawners and the patterns were estimated to be similar for male spawners (Supp. 469 Material, Figure S2b). The top model for adult progeny included a sex \times year interaction (but not 470 by origin) in the conditional model (Table 1). Male successful spawners were estimated to have 471 produced fewer adult progeny than female successful spawners in only two years: 2009 (0.61 472 times as many; 95% CI: 0.46 - 0.81) and 2013 (0.95 times as many; 95% CI: 0.64 - 1.36). The 473 average effect of all other years suggested that successful males produced 1.18 times as many 474 adult progeny as successful females, which ranged from 1.06 (in 2014; 95% CI: 0.90 - 1.25) to 1.35 (in 2012; 95% CI: 1.16 - 1.58). 475

476

[D] *Relative Reproductive Success* – All else equal (e.g., arrival day and spawner size),
successful NOR spawners were estimated to have 1.34 (95% CI: 1.17 – 1.53) times as many
juvenile progeny as were successful HOR spawners. Additionally, NOR spawners were
estimated to be 1.63 (95% CI: 1.4 – 1.92) times as likely to be successful at producing juvenile

481progeny as were HOR spawners. Similar patterns (i.e., relative reproductive success (RRS) ratios482generally > 1.0) were found when inter-annual variability in arrival day and spawner size by sex,483origin, and year were accounted for (Figure 4a,b,c). In particular, we interpret the highly484consistent RRS ratios > 1.0, alongside 95% confidence intervals that did not overlap the 1.0485dashed line (Figures 4a,b,c), as evidence that NOR spawners exhibited greater RS than their486HOR counterparts when evaluating by juvenile progeny.

Unlike for juvenile progeny, the top model for adult progeny did not include an origin effect in the conditional model component (Table 1), which resulted in equal expected RS estimates among HOR and NOR successful spawners and a RRS ratio equal to 1.0 (seen in Figure 4d). However, the top model did include an origin effect in the zero model such that NOR spawners were 1.29 (95% CI: 1.03 - 1.58) times as likely to be successful at producing adult progeny than HOR spawners (Figure 4e) – as a result, NOR spawners were estimated to have overall higher RS when considering adult progeny (Figure 4f).

494

495

[C] Q2: Relative Reproductive Success – Grand-Parent to Grand-Progeny

496 [D] *Model Selection Results* – For juvenile grand-progeny, the best model included the intercept 497 only in the conditional model and the terms *origin* + *year* in the zero model (Table 2). The best 498 model for adult grand-progeny included only the *year* term in the conditional but both *year* and 499 *origin* effects in the zero model (Table 2).

500

[D] *Relative Reproductive Success* – Similar to the results from the RRS analyses of question (1), we found that RS when considering juvenile grand-progeny was, on average, higher (by a factor of 1.39; 95% CI: 1.11 - 1.69) for NOR spawners than for HOR spawners. The best model did not Can. J. Fish. Aquat. Sci. Downloaded from cdnsciencepub.com by NOAANMFSBF on 11/29/22 For personal use only. This Just-IN manuscript is the accepted manuscript prior to copy editing and page composition. It may differ from the final official version of record.

504 include a year \times origin interaction which indicates this ratio was relatively constant over the five 505 brood years (2008 - 2012) included in this analysis. In contrast to the RRS analyses of question (1), spawners that were successful at producing juvenile grand-progeny were estimated to 506 507 produce equal numbers of juvenile grand-progeny among origin types, so the difference in 508 overall RS was explained by a difference in the frequency of success: NOR spawners were 509 estimated to be 1.57 (95% CI: 1.16 - 2.08) times as likely to be successful at producing juvenile 510 grand-progeny than were HOR spawners. We found similar inferences for spawners producing adult grand-progeny: successful spawners produced equal numbers on average, but NOR 511 512 spawners were 1.64 (95% CI: 1.05 - 2.46) times as likely to be successful than HOR spawners. 513 leading to an estimated RRS ratio (NOR:HOR) of 1.52 (95% CI: 1.04 – 2.15) when considering 514 all spawners.

515

516 [C] Q3: Relative Reproductive Success by Parental Cross Type

[D] *Model Selection Results* – The top model for both juvenile and adult progeny suggested that the expected progeny production from a spawning pair varied depending on the origins of the spawners involved (e.g., $N \times N vs. N \times H vs. H \times N vs. H \times H$) and by year, but neither included an interaction between spawning pair type and year. Because a spawning pair could only be detected if it had at least one offspring, the models for this analysis were zero-truncated negative binomial GLMs as there was no model component to explain the presence of zeros.

523

[D] *Relative Reproductive Success* – Since the year interaction was not included in the top
model, RRS ratios were estimated to be identical for all brood years. In calculating the RRS ratio
among pair types, we treated H × H as the reference (i.e., denominator). N × N spawn pairs

527 produced, on average, 1.25 (95% CI: 1.04 - 1.54) times as many juvenile progeny as did H × H 528 pairs. In contrast, RRS ratio confidence intervals for $H \times N$ and $N \times H$ spawn pairs encompassed 529 1.0, suggesting spawning pairs involving only one natural-origin parent produce comparable 530 numbers of juvenile progeny as spawn pairs involving two hatchery-origin parents (Figure 5). 531 Relative reproductive success ratios when considering adult progeny were near 1.0 for all pair 532 types and all confidence intervals encompassed 1.0 (Figure 5), suggesting no substantial difference in RS between crosses involving 1 or 2 NOR parent(s) and crosses with two HOR 533 534 parents.

535

544

536 [C] Q4: Reproductive Success Comparison given Progeny Age

In any given year, successful spawners were expected to have 1.05 (95% CI: 1.02 - 1.07) times as many adult progeny assigned for every one additional juvenile progeny assigned to them, although there was much inter-spawner variability even within a year (Figure 6). The mixedeffects model quantified variability in this value across brood years, and we found that it varied moderately over the 9 study years (range of year-specific values: 1.01 - 1.08). This finding is seen graphically in Figure 6: some years showed a noticeable increasing trend (e.g., 2008, 2010, 2011), whereas in other years the relationship appeared nearly flat (e.g., 2009, 2013, 2015).

545 [A] Discussion

546 [B] *Progression of Naturalization* – Our estimates of relative reproductive success (RRS) largely 547 suggest a reproductive advantage for natural-origin (NOR) adults, which were derived from the 548 reintroduced hatchery stock, relative to hatchery-origin (HOR) adults when spawning naturally 549 in Lookingglass Creek. This advantage was most evident in the RRS estimates when considering

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550 juvenile progeny across one generation (parent to progeny; Figures 4a,b,c). Successful NOR 551 spawners of both sexes consistently produced more juvenile progeny across brood years (with 552 the exception of female spawners in 2009; Figure 4a) and were more likely to be reproductively 553 successful across all brood years (Figures 4b). When considering adult progeny, NOR spawners 554 of both sexes were more likely to be reproductively successful (Figure 4e); however, when 555 comparing successful spawners only, the reproductive success (RS) of HOR spawners was 556 comparable to that of NOR spawners (RRS = 1.0; Figure 4d). The synthesis of these model 557 outputs ultimately predicted more progeny per NOR adult spawner of both sexes across all brood 558 vears when considering both juvenile and adult progeny (Figures 4c and 4f, respectively).

559 This apparent RS advantage becomes slightly less pronounced when estimates are made 560 across two generations (i.e., grand-parent to grand-progeny). For example, successful HOR 561 spawners were attributed similar numbers of juvenile and adult grand-progeny as successful 562 NOR spawners. However, NOR adults did demonstrate a higher likelihood of successfully 563 producing both juvenile and adult grand-progeny and thus had a higher overall average RS than 564 HOR adults across all five brood years. While we were still able to detect patterns in RS by 565 parental origin when considering grand-progeny, the low variation across brood years and 566 seemingly imperceptible effect of sex – both of which were identified as significant in the 567 juvenile and adult progeny models – may be due to reduced counts of assigned grand-progeny 568 relative to the number of assigned F1 progeny. We identified grand-progeny (F2 generation) by 569 tracing through the F1 generation to the grand-parents (F0 generation), which essentially 570 required the F1 generation was thoroughly sampled, that the F1 progeny survived to maturity and 571 were allowed to spawn naturally rather than being taken for broodstock, and that progeny 572 assignments to the F1 generation met probability thresholds. While adult sampling is

573 comprehensive in this study system (weir efficiency avg. 99.2%), this feature of the analytical 574 approach, alongside accumulation of mortality risks to adulthood across multiple generations, 575 necessarily reduces the number of F2 grand-progeny that can be analyzed (see Supp. Material 576 Table S1e & S1f). The lower counts of identified F2 grand-progeny (i.e., the response variable) 577 likely reduced our analytical resolution for disentangling contributing factors (i.e., sex or 578 interannual variability) when performing estimations across two generations.

579 Consistent with our observation that individual NOR spawners demonstrate higher 580 estimates of RS when considering both juvenile progeny (Figure 4c) and juvenile grand-progeny, 581 parental crosses involving two, naturally spawning NOR parents (NxN) produce more juvenile 582 progeny than crosses involving two HOR parents (Figure 5). However, the RS of the crosses 583 involving one NOR parent (either HxN or NxH) were not detectably different from that 584 estimated for HxH crosses. Similarly, when considering adult progeny, the estimated RS values 585 for parental crosses involving 1 or 2 NOR parents (i.e., NxN or HxN or NxH) were not 586 detectably different than that estimated for HxH crosses (Figure 5). This result reflects model 587 outcomes when considering adult progeny of individual spawners as this cross type analysis 588 could only consider successful spawners, for which origin was not an important factor in 589 explaining RS (conditional model component, Table 1; Figure 4d).

Taken together, our results support the presumption that natural selective forces can diminish deleterious fitness effects associated with hatchery rearing, ultimately facilitating adaptation as reflected by improved fitness over generations of fish derived from a hatchery stock and reintroduced to a novel natural environment. In Lookingglass Creek, it appears that adaptation occurred and fitness improved relatively quickly. The NOR progeny from the first Catherine Creek stock HOR adults reintroduced into upper Lookingglass Creek largely returned

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608

596 in 2008. Thus, we know the NOR spawners in 2008 were only one generation removed from the 597 hatchery, and yet we observed a consistent RS advantage among NOR fish as early as 2008 598 across progeny types (Figure 4). We may therefore expect the RS of NOR adults spawning 599 amongst themselves to increasingly track upwards through time. However, many of the 600 impediments to survival that contributed to the original extirpation of the native Lookingglass 601 Creek spring Chinook salmon stock remain, as illustrated by the high variability in return rate 602 (Figure 2). This necessitates the continued supplementation of the spawning population with HOR adults each year (Figure 2), which invariably spawn with NOR fish, and thereby reduce the 603 604 likelihood of developing a lineage composed solely of NOR x NOR crosses. Nonetheless, the 605 consistent RS advantage observed for NOR spawners, and the fact that this was observed in the 606 first generation of NOR adult returns, indicates fish derived from a hatchery stock can respond to 607 natural selective pressures fairly rapidly and naturalize to a novel natural environment.

609 [B] Inferring productivity from juvenile versus adult progeny – Obtaining adult-to-adult 610 parentage assignment data for a reintroduction program, from which it is possible to accurately 611 compare RS by origin, requires thorough, moderate to long-term monitoring to maximize the 612 sample size of potential adult spawners and their returning adult progeny. These circumstances 613 require operation of a highly efficient trap (capture rate approaching 100% of returning adults) 614 over several consecutive years to accommodate for variability in annual escapement. However, 615 even in systems with such sampling infrastructure, achieving sample sizes that permit reliable 616 inference is increasingly difficult as many Columbia River Basin salmon populations continue to 617 decline from historical levels, and annual return rates are highly variable (McClure et al. 2003; 618 CBP 2020).

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619 In contrast, out-migrating juveniles captured before subjection to various downstream 620 migration stressors are many times more numerous than returning adults, and sampling 621 infrastructure for juveniles (e.g., rotary screw traps) exists in many systems. Reproductive 622 success estimates based on juvenile-to-adult assignments may therefore be logistically preferable 623 for many salmonid programs throughout the Basin, and this approach has been utilized in several 624 studies seeking to evaluate fitness (reviewed in Koch and Narum 2021). However, very few 625 studies have included both adult-to-juvenile and adult-to-adult RS estimates within the same 626 system (Kostow et al. 2003; Ford et al. 2006; Berntson et al. 2011; Ford et al. 2012), and none to 627 our knowledge have directly compared the number of adult and juvenile progeny produced per 628 individual spawner. This Lookingglass Creek dataset provided an opportunity to perform such 629 individual-level comparisons and to more directly assess the validity of extending RS estimates 630 given juvenile progeny to later life stages. While we did identify some relationship between adult 631 and juvenile progeny assigned, specifically the average spawner in the average year was assigned 632 1.05 times as many adult progeny for every juvenile progeny assigned, this relationship was not 633 consistent across brood years (Figure 6). Additionally, in some years the expected patterns did 634 not hold, e.g., spawners in BY2012 with the highest number of adult progeny had some of the 635 lowest numbers of juvenile progeny. Despite the lack of a strong and consistent relationship, the 636 qualitative inference gained from adult-to-juvenile and adult-to-adult RS estimates was identical 637 - NOR spawners experience higher RS than their HOR counterparts when spawning naturally 638 (Figure 4).

The generalized linear model analyses based on adult-to-juvenile assignments also
identified two covariates – return day and fork length – that were important in predicting RS, and
which were not identified when considering adult-to-adult assignments (Table 1). Return day and

642 fork length have been commonly identified as significant variables in predicting RS, with the 643 positive relationship between fork length and RS being particularly well-documented (see Supp. 644 Material, Figure S3a,b; Anderson et al. 2010; Williamson et al. 2010; Berntson et al. 2011; 645 Schroder et al. 2012; Anderson et al. 2013; Evans et al. 2016; Janowitz-Koch et al. 2019; Koch 646 et al. 2022). While we did not identify a significant difference in the average length of NOR and 647 HOR adult spawners when combined across all study years (sexes analyzed separately), we did 648 identify a significant difference in the average day of return, with HOR adults of both sexes 649 returning three days later on average (data not shown). A propensity to return later may have 650 contributed to the lower observed RS of HOR spawners, as model outputs based on juvenile 651 progeny generally demonstrated a negative correlation between return day and RS - a trend that 652 has been observed in other systems (Supp. Material S4a,b; Dickerson et al. 2005; Anderson et al. 653 2013; Janowitz-Koch et al. 2019). Thus, estimation of RS based on juvenile progeny provided 654 more insight regarding metrics that may interact with origin to affect individual RS, which was 655 likely due to the higher counts of juvenile progeny and resulting finer-scale resolution in RS 656 outcomes to identify interacting effects. Therefore, while assessing RS by adult progeny 657 obviously provides the most direct insight into spawner characteristics that produce progeny best 658 equipped to survive system-specific stressors through a full life cycle, assessments by juvenile 659 progeny can provide valid inference, especially when adequate sampling of adults is constrained. 660

[B] *Conclusions and Management Implications* – Ultimately, our analyses of RS across two
generations, and based on both adult and juvenile progeny, indicated increased fitness among
NOR adults relative to HOR adults when spawning naturally. These NOR fish were derived from
an in-basin, captive broodstock hatchery program (Catherine Creek stock), which was used to

665 initiate the reintroduction of spring Chinook salmon to the upper basin of Lookingglass Creek in 666 2001. This supports our initial hypothesis that natural selective forces can counteract 667 maladaptive traits associated with hatchery rearing and suggests reliance on hatchery stocks to 668 reintroduce extirpated salmon populations, as well as to supplement depressed extant 669 populations, can be an impactful mechanism for achieving recovery. Of course, the magnitude of 670 annual HOR stocking relative to NOR escapement, and the management strategies employed at 671 the donor hatchery (e.g., the level of integration of NOR fish in the broodstock, juvenile rearing, 672 acclimation and release protocols, etc.; Naish et al. 2007) are factors that will influence adaptive 673 capacity, and the amount of time before naturalization becomes evident. In Lookingglass Creek, 674 managers implement an integrated broodstock model, with up to 30% of the broodstock being 675 NOR fish each year. Such integration reduces accumulation of domestication effects across 676 successive generations, and likely contributes to the positive RS outcomes observed here, as well 677 as those documented in other systems supplemented by integrated hatchery programs (Araki et 678 al. 2007b; Hess et al. 2012; Ford et al. 2016; Janowitz-Koch et al. 2019). 679

As anthropogenic modifications and climate change interact to amplify mortality risks 680 moving forward, hatchery programs that adaptively manage and implement informed strategies 681 may provide important demographic buffers. In Lookingglass Creek, the downward trend in 682 adult-to-adult RS since BY2011 (Figure 3f), while juvenile-to-adult RS demonstrated no obvious 683 trend (Figure 3c) suggest these mortality risks are particularly pronounced post-emigration from 684 the natal stream. In fact, downstream survival of emigrants from Lookingglass Creek to Lower Granite Dam has been trending downward through time, with summer and fall emigrants 685 686 demonstrating the lowest survival estimates (Crump et al. 2021). These elevated stressors and 687 reduced juvenile survival have undoubtedly contributed to the declining escapement to

688 Lookingglass Creek in recent years (Figure 2), mirroring population abundance trends observed 689 throughout the Columbia River Basin (McClure et al. 2003; Gustafson et al. 2007), as well as 690 those that have been predicted under future climate scenarios (Crozier et al. 2021). These trends 691 highlight the importance of holistic habitat restoration actions that address all components of the 692 salmonid life cycle, but which are unfortunately quite challenging given the expense, the need 693 for extensive collaboration and coordination among various stakeholders, and the difficulty of 694 reconciling diverse socioeconomic objectives across the riverscape (Hand et al. 2018). Until such 695 efforts are supported and enacted at necessary levels, well-managed reintroduction and 696 supplementation hatchery programs can act as conservation tools. While this evaluation of the 697 reintroduction of spring Chinook salmon to Lookingglass Creek is a single case study, these 698 results – namely, the apparent and fairly rapid naturalization from a captive, hatchery stock – 699 suggest fish sourced from hatchery stocks have the capacity to naturalize to a reintroduced 700 system and may therefore contribute to long-term recovery goals. 701

702 [A] Data availability

The step-by-step guides for completing the parentage analyses are available at the following GitHub repository: <u>https://github.com/hnuetzel/Lookingglass-Creek-spring-Chinook-RRS</u>. The code for performing the generalized linear model analyses is available at the following: <u>https://doi.org/10.5281/zenodo.6621724</u>. If you wish to use the dataset detailed in this manuscript to execute the scripts in the aforementioned repositories, please contact the following individuals: Hayley Nuetzel at <u>hnuetzel@critfc.org</u>, Gene Shippentower at <u>geneshippentower@ctuir.org</u>, Carrie Crump at <u>carriecrump@ctuir.org</u> and Leslie Naylor at

711	
712	[A] Competing interests
713	The authors declare there are no competing interests.
714	
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Table 1. All hurdle models ranked by AIC_c that fell within 2 AIC_c units of the lowest AIC_c model for each progeny type. The models selected for inference (i.e., fewest parameters with $< 2 \Delta AIC_c$ units, K) for each progeny type are highlighted in bold. The linear predictors for the two hurdle model components are shown: the conditional model captures variability in the expected count for successful spawners (i.e., assigned progeny > 0) and the zero model captures variability in the expected probability that a spawner will be unsuccessful (i.e., assigned progeny = 0). The notation var1:var2 indicates a two-way interaction, i.e., where the effect of var1 $\frac{1}{2}$ depends on the value of var2. Model weights were rescaled to sum to 1 within each progeny type for presentation.

Progeny	Hurdle Model Component		AIC _c Output		
Туре	Conditional	Zero	K	ΔAIC_{c}	Weight
	day + length + origin + sex + year	origin + sex + year + sex:year	33	0.00	0.13
	day + length + origin + sex + year + length:origin	origin + sex + year + sex:year	34	0.68	0.10
	day + length + origin + sex + year + sex:year	origin + sex + year + sex:year	41	0.78	0.09
	day + length + origin + year	origin + sex + year + sex:year	32	1.07	0.08
	day + length + origin + sex + year	origin + year	24	1.23	0.07
	day + length + origin + sex + year + length:origin +	origin + sex + year + sex:year	42	1.34	0.07
	sex:year		~ .	1.2.6	• • -
	day + length + origin + sex + year + day:origin	origin + sex + year + sex:year	34	1.36	0.07
Juvenile	day + length + origin + sex + year	origin + sex + year + origin:year + sex:year	41	1.53	0.06
	day + length + origin + year + length:origin	origin + sex + year + sex:year	33	1.61	0.06
	day + length + origin + sex + year	origin + sex + year	25	1.71	0.06
	day + length + origin + sex + year + day:origin + length:origin	origin + sex + year + sex:year	35	1.76	0.06
	day + length + origin + sex + year + length:origin	origin + year	25	1.90	0.05
	day + length + origin + sex + year + sex:year	origin + year	32	1.94	0.05
	day + length + origin + sex + year	origin + sex + year + origin:sex + sex:year	34	1.98	0.05
	length + sex + year + sex:year	origin + year	30	0.00	0.25
	sex + year + sex:year	origin + year	29	0.50	0.20
A -114	day + length + sex + year + sex:year	origin + year	31	0.76	0.17
Adult	day + sex + year + sex:year	origin + year	30	1.10	0.15
	length + sex + year + sex:year	origin + sex + year	31	1.34	0.13
	sex + year + sex:year	origin + sex + year	30	1.84	0.10

Table 2. All hurdle models ranked by AIC_c that fell within 2 AIC_c units of the lowest AIC_c model for each grand-progeny type. The models selected for inference (i.e., fewest parameters with $< 2 \Delta AIC_c$ units, K) for each grand-progeny type are highlighted in bold. The linear predictors for the two hurdle model components are shown: the conditional model captures variability in the expected count for successful spawners (i.e., assigned grand-progeny > 0) and the zero model captures variability in the expected probability that a spawner will be unsuccessful (i.e., assigned grand-progeny = 0). The notation var1:var2 indicates a two-way interaction, i.e., where the effect of var1 depends on the value of var2. Model weights were rescaled to sum to 1 within each progeny type for presentation.

"Intercept only" indicates no effects of any covariates were included, i.e., that the expected value was constant for all spawners.

Grand-	Hurdle Model Component			AIC _c Output		
Progeny Type	Conditional	Zero	K	∆ AIC _c	Weight	
Juvenile	Intercept only	origin + year	8	0.00	0.62	
	origin	origin + year	9	0.99	0.38	
Adult	year	origin + year	11	0.00	0.50	
	origin + year + origin:year	origin + year	16	0.90	0.32	
	origin + year	origin + year	12	1.99	0.18	

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Figure 1. Map of the Grande Ronde River basin in northeast Oregon, with the inset on the bottom right framing its location within the larger Columbia River Basin. The inset on the top left highlights Lookingglass Creek, the weir and the hatchery. The rotary screw trap is located 200m downstream of the weir, between the weir and hatchery. Data sourced from StreamNet (2012). Map projection: Lambert Conformal Conic, NAD 1983.

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1061 Figure 2. Spring Chinook salmon adult escapement above Lookingglass Creek weir from 2000 1062 to 2020, the final year of sampling for this study. From 2000-2003, no adult fish returning to the 1063 Lookingglass Creek weir were permitted passage above the weir to remove any Rapid River 1064 ancestry fish from the upper reaches of Lookingglass Creek. The BY2000 Catherine Creek fish 1065 that had been outplanted to Lookingglass Creek in 2001 largely returned in 2004, and the first 1066 NOR offspring of these fish returned in 2007 as age-3 jacks, but predominately as age-4 adults in 1067 2008. The return years that were interrogated as potential parents in this study are highlighted by 1068 the grey rectangle.

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Figure 3. Expected reproductive success based on juvenile (panels a, b, and c) and adult (panels d, e, and f) progeny for the average female spawner of each origin type spawning in each brood year as quantified by the top negative binomial hurdle model for each progeny type. "Progeny per Spawner" (panels c and f) accounts for the number of progeny produced by successful spawners (panels a and d) and the probability of being successful at all (panels b and e). Expected values were obtained at the average value of the arrival day and length variables for each year by origin for juvenile progeny only (these variables were not in the top model for adult

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1077 progeny, Table 1). Error bars represent 95% confidence intervals obtained via parametric1078 bootstrap.

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1080 Figure 4. Relative reproductive success based on juvenile (panels a, b, and c) and adult (panels 1081 d, e, and f) progeny for the average spawner by sex in each brood year according to three 1082 measures estimated by the top hurdle models. The ratio is expressed as NOR to HOR such that 1083 values greater than 1.0 indicate higher success for NOR spawners. Error bars represent 95% 1084 confidence intervals obtained via parametric bootstrap. Panel (d) demonstrates no difference in 1085 RRS between NOR and HOR spawners because origin was not an important factor in the 1086 conditional model for adult progeny (see Table 1), and no error bars are needed given that the 1087 ratio of expected counts is equal with no uncertainty. For panels (b) and (e), while we did 1088 identify year as a significant variable in the zero model for both juvenile and adult progeny 1089 (Table 1), it did not include an interaction with origin. This lack of interaction, in combination 1090 with the observation that the ratio of progeny produced by HOR and NOR spawners of each sex 1091 did not vary across years (see Figure 2b,e for females; Supp. Figure S2b for males), explains the 1092 equal RRS ratios across years and sexes.

Figure 5. Relative reproductive success ratios based on the juvenile and adult progeny produced by spawning pairs comprised of different origins. Spawning pair types are: $N \times N = NOR$ male and NOR female; $H \times N = HOR$ male and NOR female; $N \times H = NOR$ male and HOR female; $H \times H = HOR$ male and HOR female. Ratios are expressed with $H \times H$ in the denominator such that values greater than one indicate that pairs of the corresponding type (denoted by the bar color) had higher expected reproductive success than pairs of type $H \times H$. All calculations involved only successful spawning pairs, i.e., those pairs with at least one offspring assigned.

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Although ratios were calculated for each year, the top model did not include an interaction
between pair type and year so the estimated ratios were identical for all years. Error bars
represent 95% confidence intervals obtained via parametric bootstrap.
Figure 6. Brood year-specific relationships between the number of adult and juvenile progeny
assigned to individual spawners. Fitted curves were obtained using a negative binomial
generalized linear mixed effects model with random slopes and intercepts for each year. The

shaded region represents the 95% confidence interval around the year-specific curve.

Figure 1.



2020



Figure 3.



Figure 4.





