U.S. Fish and Wildlife Service

General Award Terms and Conditions

Effective Date: December 31, 2020

Recipients of U.S. Fish and Wildlife Service (Service) grant and cooperative agreement awards (hereafter referred to as 'awards') are subject to the terms and conditions incorporated into their Notice of Award either by direct citation or by reference to Federal regulations; program legislation or regulation; and special award terms and conditions. Award terms and conditions are applicable unless and until the Service removes or revises them in written notice to the recipient. The Service will make such changes by issuing a written notice that describes the change and provides the effective date.

Recipients indicate their acceptance of an award by starting work, drawing down funds, or accepting the award via electronic means. Recipient acceptance of an award carries with it the responsibility to be aware of and comply with all terms and conditions applicable to the award. Recipients are responsible for ensuring that their subrecipients and contractors are aware of and comply with applicable award statutes, regulations, and terms and conditions. Recipient failure to comply with award terms and conditions can result in the Service taking one or more of the remedies and actions described in 2 CFR
§§200.339—343.

Administrative Requirements, Cost Principles, and Audit Requirements

The requirements in this section do not apply to individuals receiving an award separate from any business or organization he or she may own or operate. The Service will describe all administrative and cost requirements and restrictions for awards to individuals in their Notice of Award. The requirements in this section apply to for-profit entities only when the Service specifically incorporates them by reference in a Notice of Award.

The requirements in this section apply to foreign public entities and foreign organizations, unless otherwise described in this section. Foreign public entities and organizations must comply with any special considerations and requirements specific to their entity type, unless otherwise noted within this document. Foreign public entities must comply with those specific to states. Foreign higher education institutions must comply with those specific to Institutions of Higher Education.

Administrative Requirements

<u>2 CFR Part 200, Subparts A—D</u>, as supplemented by <u>2 CFR Part 1402—Financial Assistance Interior</u> Regulation and including the provisions in 2 CFR §1402.112 and §1402.315. Foreign public entities must follow payment procedures in 2 CFR §200.305(b). The requirements in 2 CFR §\$200.321—323 do not apply to foreign public entities or foreign organizations.

<u>Appendix XII to 2 CFR Part 200—Award Term and Condition for Recipient Integrity and Performance Matters</u>. Applies to awards with a total Federal share of more than \$500,000, except for awards to foreign public entities.

Cost Principles

<u>2 CFR Part 200, Subpart E—Cost Principles</u>. These cost principles apply to all domestic and foreign non-Federal entities except non-profit organizations identified as exempt in Appendix VIII to 2 CFR Part 200. The Authorized Representative's signature on a standard application form submitted to the Service represents their certification that the entity's financial management system meets 2 CFR §200.302 Financial management requirements. The non-Federal entity's financial management system must be sufficient to:

- 1) Permit the preparation of required reports;
- 2) Trace funds to a level of expenditures adequate to establish that the entity has used such funds per Federal statutes, regulations, and terms and conditions of the Federal award;
- 3) Provide for the requirements in 2 CFR §200.302(b); and
- 4) Comply with §§200.334 Retention requirements for records, 200.335 Requests for transfer of records, 200.336 Methods for collection, transmission and storage of information, and 200.337 Access to records.

<u>48 CFR Subpart 31.2—Contracts with Commercial Organizations</u>. These cost principles apply to non-profit organizations identified in Appendix VIII to 2 CFR Part 200. They also apply to for-profit entities when specified in their Notice of Award.

Indirect Cost Proposals

- Institutions of Higher Education: <u>Appendix III to 2 CFR Part 200</u>
- Nonprofit organizations: Appendix IV to 2 CFR Part 200
- States, local government agencies, and Indian tribes: <u>Appendix VII to 2 CFR Part 200</u>
- For-profit entities: Contact the <u>DOI National Business Center, Office of Indirect Cost Rate Services</u>

See also the DOI negotiated indirect cost rate deviation policies at 2 CFR §1402.414.

Audit Requirements

<u>2 CFR Part 200, Subpart F—Audit Requirements</u>. These audit requirements apply to U.S. states, local governments, Indian tribes, institutions of higher education, and nonprofit organizations. They do not apply to foreign public entities, foreign organizations, or for-profit entities.

Statutory and National Policy Requirements

The following statutory and national policy requirements apply to all recipients, including individuals, for-profits, foreign public entities, and foreign organizations, unless otherwise described in this section.

<u>Appendix A to 2 CFR Part 25—Universal Identifier and System for Award Management</u>. Does not apply to individuals or any entity with a qualifying condition and exempted by the awarding bureau or office prior to award per 2 CFR §25.110(c)(2) and bureau or office policy.

Appendix A to 2 CFR Part 170—Award term for reporting subaward and executive compensation. Does not apply to any individual receiving a Federal award as a natural person. See 2 CFR 170 for other exceptions.

<u>2 CFR §175.15—Award Term for Trafficking in Persons</u>. Applies to private entities as defined in 2 CFR §175.25(d), and to awards to States, local governments, Indian tribes or foreign public entities, if funding could be provided under the award to a private entity as a subrecipient.

<u>2 CFR Part 1400—Nonprocurement Debarment and Suspension</u>. Recipients are responsible for ensuring they do not enter into any covered transaction with an excluded or disqualified participant or principal. See also <u>2 CFR Part 180—OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)</u>. See 2 CFR §180.215 for nonprocurement transactions that are not covered transactions.

<u>2 CFR Part 1401—Requirements for Drug-Free Workplace (Financial Assistance)</u>. Does not apply to foreign public entities or foreign organizations.

43 CFR Part 18—New Restrictions on Lobbying. The Authorized Representative's signature on a standard application form submitted to a DOI bureau or office certifies to the statements in Appendix A to 43 CFR Part 18—Certification Regarding Lobbying. These restrictions do not apply to such Indian tribe, tribal organization, or any other Indian organization expenditures specifically permitted by other Federal law.

41 U.S.C. §6306—Prohibition on Members of Congress Making contracts with Federal Government

National Policy Encouragements

<u>E. O. 13043—Increasing Seat Belt Use in the United States</u>. Non-Federal entities are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally owned vehicles. Individuals are encouraged to use seat belts while driving in connection with award activities.

E. O. 13513—Federal Leadership on Reducing Text Messaging While Driving. Non-Federal entities are encouraged to adopt and enforce policies that ban text messaging while driving, including conducting initiatives of the type described in section 3(a) of the order. Individuals are encouraged to not text message while driving in connection with award activities.